

PATENT  
ATTORNEY DOCKET NO.: 054253-5001

jc872 U.S. PRO  
10/032535  
01/02/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Continuation-in-Part Application of: )  
John C. SHAW et al. ) Group Art Unit: Unassigned  
Application No.: To be assigned ) Examiner: Unassigned  
**Continuation-in-Part of Application No.: 09/570,562,** )  
**filed on May 12, 2000 and Application No.: 09/569,135** )  
**filed on May 11, 2000** )  
Filed: January 2, 2002 )  
For: METHOD AND SYSTEM FOR FACILITATING )  
SECURE TRANSACTIONS )

Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced continuation-in-part application, which is concurrently being filed herewith. Accordingly, Applicants do not believe that a fee is due for filing this paper. The listed documents were previously cited in prior Application Nos. 09/570,562 and 09/569,135, filed on May 12, 2000 and May 11, 2000 respectively, upon which Applicants rely for the benefits provided in 35

U.S.C. § 120. Thus, under 37 C.F.R. § 1.98(d), copies of the listed documents are not being submitted herewith.

Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO 1449 form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18 the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of the application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be an **CONSTRUCTIVE PETITION FOR EXTENSION**

**OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3). If there is any fee due in connection with the filing of this Information Disclosure Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By:

  
Paul A. Fournier  
Registration No. 41,023

Dated: January 2, 2002

**Customer No.: 009629**

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PAF/dlb

INFORMATION DISCLOSURE CITATION  (Use several sheets if necessary)		Attorney Docket No. 054253-5001	Application No.: Unassigned Continuation-in-Part of <del>5001</del> Application Nos. 09/570,500 and 09/569,135
		Applicants: John C. SHAW et al.  PAGE 1 of 2	
PTO Form 1449		Filing Date: January 2, 2002	Group Art Unit: Unassigned

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01/02/02

### U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,897,620	04/27/1999	Walker et al.	705	5	
	5,727,165	03/10/1998	Ordish et al.	395	237	
	5,794,207	08/11/1998	Walker et al.	705	23	
	5,592,375	01/07/1997	Salmon et al.	395	207	
	5,615,269	03/25/1997	Micali	380	49	
	5,664,115	09/02/1997	Fraser	705	37	
	5,689,652	11/18/1997	Lupien et al.	395	237	
	5,375,055	12/20/1994	Togher et al.	364	408	
	5,136,501	08/04/1992	Silverman et al.	364	408	
	5,077,665	12/31/1991	Silverman et al.	364	408	
	4,903,201	02/20/1990	Wagner	364	408	
	4,412,287	10/25/1983	Braddock, III	364	408	
	3,573,747	04/06/1971	Adams et al.	340	172.5	

### FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub Class	Translation YES      NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

Attorney Docket No. 054253-5001

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Application Nos. 09/570,562 and  
09/569,135

(Use several sheets if necessary)

Applicants: John C. SHAW et al.

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Filing Date: January 2, 2002

Group Art Unit: Unassigned

**U.S. PATENT DOCUMENTS**

*Examiner Initial		Document Number	Date	Name	Class	Sub Class	Filing Date
		5,950,177	09/07/1999	Lupien et al.	705	37	
		5,926,801	07/20/1999	Matsubara et al.	705	37	
		5,924,083	07/13/1999	Silverman et al.	705	37	
		5,924,082	07/13/1999	Silverman et al.	705	37	
		5,873,071	02/16/1999	Ferstenberg et al.	705	37	
		5,842,178	11/24/1998	Giovanni et al.	705	26	
		5,809,483	09/15/1998	Broka et al.	705	37	
		5,794,219	08/11/1998	Brown et al.	705	37	
		5,794,207	08/11/1998	Walker et al.	705	23	
		5,717,989	02/10/1998	Tozzoli et al.	705	37	
		5,715,402	02/03/1998	Popolo et al.	395	237	
		4,674,044	06/16/1987	Kalmus et al.	364	408	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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